

## Today in Astronomy 102: black holes and how to prevent them

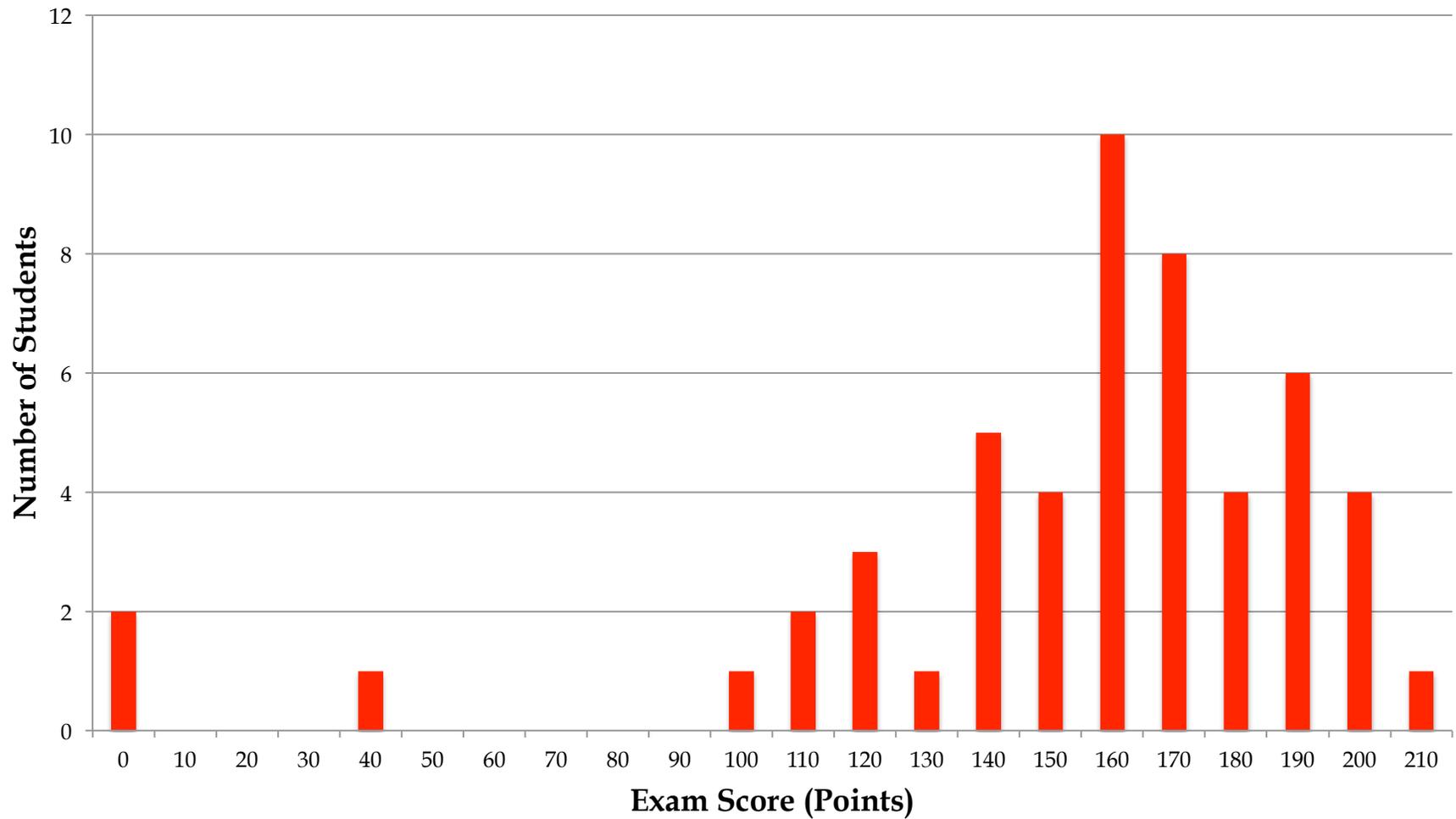
- ❑ The Schwarzschild singularity and the sizes of black holes.
- ❑ **Degeneracy pressure:** a quantum-mechanical effect that might stop matter from collapsing to form a black hole, when gas pressure or material strength aren't enough.



The central star in this planetary nebula, NGC 6543, is well on its way to becoming a white dwarf. (*Hubble Space Telescope and Chandra X-ray Observatory/ NASA, STScI, CfA*)

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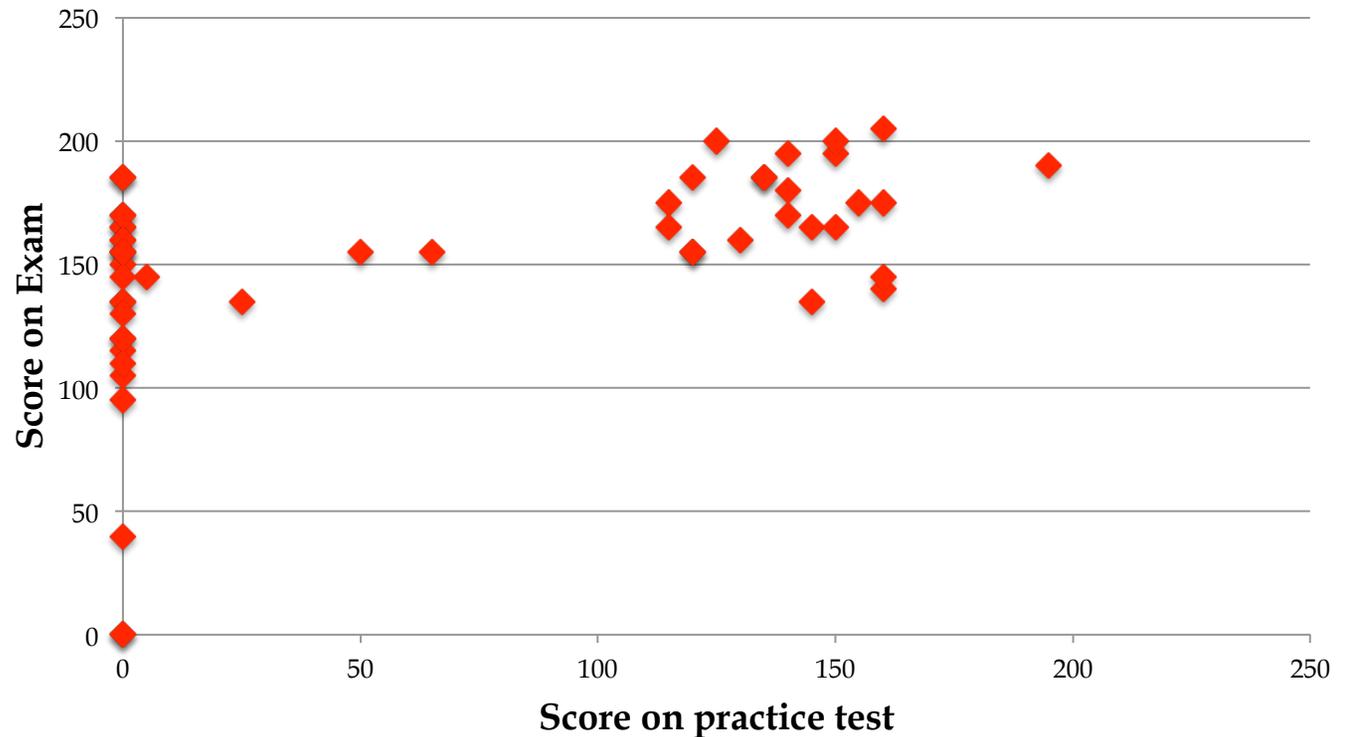
# Results Exam 1



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# Midterm # 1

- Average = 75%
- Students who took the Practice Midterm did substantially better than those who didn't.





## What do you think of electronic exams?

Comparing WeBWorK exams like Exam #1 to ordinary paper exams during class, I like

- A. paper exams a LOT better.
- B. paper exams better.
- C. neither better than the other.
- D. WeBWorK exams better.
- E. WeBWorK exams a LOT better.



## What do you think of electronic exams? (2)

The aspect of the WeBWorK Exam #1 I liked best was

- A. Comfortable surroundings of my choice.
- B. Flexible hours.
- C. Quick grading.
- D. Access to all electronic course material during exam.
- E. Less stressful overall.



## What do you think of electronic exams? (3)

I thought the worst aspect of the WeBWorK Exam #1 was

- A. slow WeBWorK computer.
- B. too hard to ask questions.
- C. lack of incentive from the pressure of in-class exams.
- D. the Yankees question
- E. other nasty aspects not listed ([send me email!](#)).

## Back to work.

- ❑ The Schwarzschild singularity and the sizes of black holes.
- ❑ **Degeneracy pressure:** a quantum-mechanical effect that might stop matter from collapsing to form a black hole, when gas pressure or material strength aren't enough.



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## The Schwarzschild singularity

According to Schwarzschild's solution to Einstein's field equation for spherical objects, the gravitational redshift becomes infinite (i.e. time appears to a distant observer to stop) if an object having mass  $M$  is confined within a sphere of circumference  $C_S$ , given by

$$C_S = \frac{4\pi GM}{c^2} \quad \text{Schwarzschild circumference}$$

where  $G = 6.674215 \times 10^{-8} \text{ cm}^3 / (\text{gm sec}^2)$  is Newton's gravitational constant, and  $c = 2.99792458 \times 10^{10} \text{ cm/sec}$  is, as usual, the speed of light (and  $\pi = 3.14159265359\dots$ ).

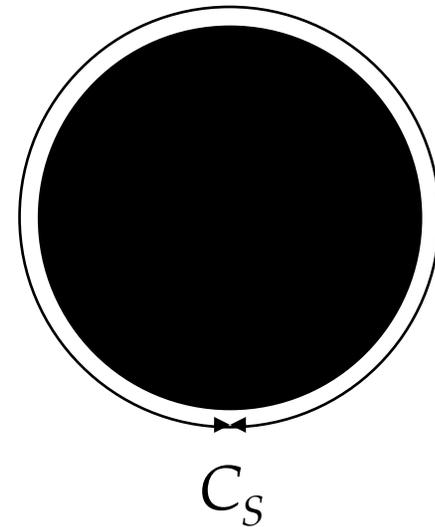
*You need to understand this formula.*

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## The Schwarzschild singularity (continued)

Any object with mass  $M$ , and circumference smaller than  $C_S$ , would not be able to send light (or anything else) to an outside observer -- that is, it would be a black hole.

The sphere with this critical circumference - the Schwarzschild singularity itself - is what we have been calling the **event horizon**, or simply the **horizon**, of the black hole.



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## Examples: calculation using the horizon (Schwarzschild) circumference

**Example 1:** what is the horizon circumference of a  $10M_{\odot}$  black hole?

$$C_S = \frac{4\pi GM}{c^2}$$

$$= \frac{4 \times 3.14 \times 6.67 \times 10^{-8} \frac{\text{cm}^3}{\text{sec}^2 \text{gm}} \times 10M_{\odot} \times \frac{2.0 \times 10^{33} \text{gm}}{1M_{\odot}}}{\left(3.00 \times 10^{10} \frac{\text{cm}}{\text{sec}}\right)^2}$$

$$= 1.86 \times 10^7 \text{ cm}$$

$$= 1.86 \times 10^7 \text{ cm} \times \frac{\text{km}}{10^5 \text{ cm}} = 186 \text{ km}$$

(Compare to the discussion of the black hole Hades, pg. 29 in Thorne)

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## Examples: calculation using the horizon (Schwarzschild) circumference, continued

**Example 2:** what is the horizon circumference of a black hole with the same mass as the Earth ( $6.0 \times 10^{27}$  gm)?

$$\begin{aligned} C_S &= \frac{4\pi GM}{c^2} \\ &= \frac{4 \times 3.14 \times 6.67 \times 10^{-8} \frac{\text{cm}^3}{\text{s}^2 \text{ gm}} \times 6.0 \times 10^{27} \text{ gm}}{\left( 3.00 \times 10^{10} \frac{\text{cm}}{\text{s}} \right)^2} \\ &= 5.6 \text{ cm (!!)} \end{aligned}$$

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## Examples: calculation using the horizon (Schwarzschild) circumference, continued

**Example 3:** what is the mass of a black hole that has a horizon circumference equal to that of the Earth ( $4.0 \times 10^9$  cm)?

First, rearrange the formula:

$$C_S = \frac{4\pi GM}{c^2}$$

$$\frac{c^2}{4\pi G} C_S = \frac{4\pi GM}{c^2} \frac{c^2}{4\pi G}$$

$$\frac{C_S c^2}{4\pi G} = M$$

**Another form in which you need to understand the equation**

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## Examples: calculation using the horizon (Schwarzschild) circumference, continued

Then, put in the numbers:

$$M = \frac{C_S c^2}{4\pi G} = \frac{4 \times 10^9 \text{ cm} \times \left( 3.00 \times 10^{10} \frac{\text{cm}}{\text{sec}} \right)^2}{4 \times 3.14 \times 6.67 \times 10^{-8} \frac{\text{cm}^3}{\text{sec}^2 \text{ gm}}}$$
$$= 4.3 \times 10^{36} \text{ gm}$$
$$= 4.3 \times 10^{36} \text{ gm} \times \frac{1 M_{\odot}}{2.0 \times 10^{33} \text{ gm}} = 2.15 \times 10^3 M_{\odot} \quad (!!)$$



## Now, you try:

What is the horizon circumference of a black hole with mass equal to that of the bright star Vega,  $M = 2.9M_{\odot}$ ?

A. 53.8140502 km

B. 54 km

C. 65.1429 km

D. 69.8 km

E. 186 km



## And try again:

What is the horizon circumference, in centimeters, for a black hole with mass  $10^{33}$  gm?

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## Reaction to the Schwarzschild singularity (from last time)

- ❑ Einstein showed that a stable object with a singularity cannot exist.
- ❑ From this he concluded (**incorrectly**) that this meant the singularity could not exist in nature.
- ❑ Einstein's calculation was correct, but the correct inference from the result is that **gas pressure cannot support the weight of stars similar in size to the Schwarzschild circumference.**
- ❑ If nothing stronger than gas pressure holds them up, such stars cannot be stable: they will collapse to form black holes – in which case the singularity is real.
  - Stronger than gas pressure: **degeneracy pressure.**

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## Mid-lecture Break (2 min. 59 s.)

- ❑ Homework #3 is now available on WeBWork; it is due on Monday, February 29, at 8:30 AM.



Einstein and  
his violin



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## Degeneracy pressure

This involves a concept from quantum mechanics called the **wave-particle duality**:

- ❑ All elementary particles from which matter and energy are made (including light, electrons, protons, neutrons...) have simultaneously the properties of particles and waves.
- ❑ Which property they display depends upon the situation they're in.

Degeneracy pressure consists of a powerful resistance to compression that's exhibited by the elementary constituents of matter when these particles are confined to spaces small enough to reveal their wave properties.

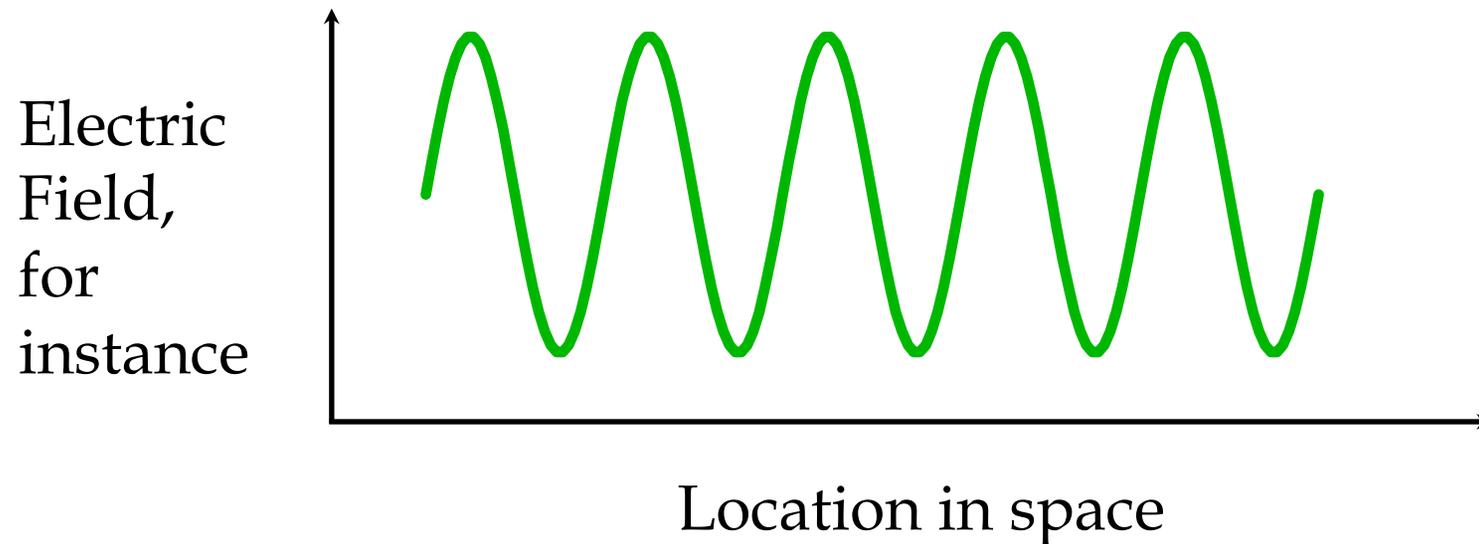
In more detail....

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# Particles and waves

- Particles exist only at a point in space.

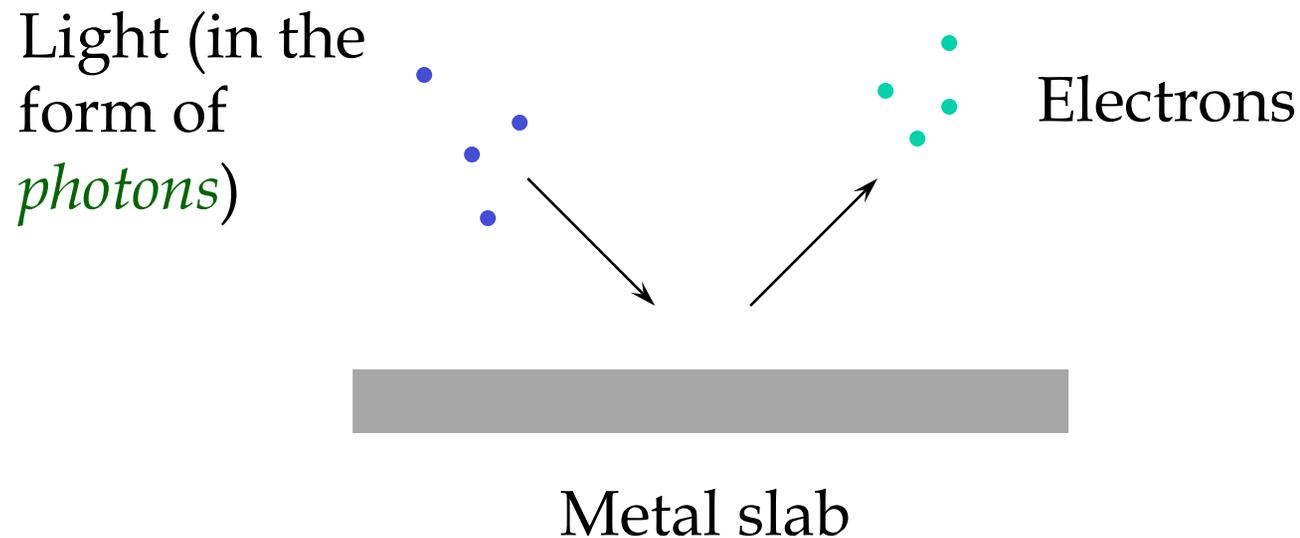
Waves extend over a region of space.



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## Light can be either a particle or a wave

Particle example: the **photoelectric effect** -- the 1905 explanation of which, in these terms, won Einstein the 1921 Nobel Prize in physics.



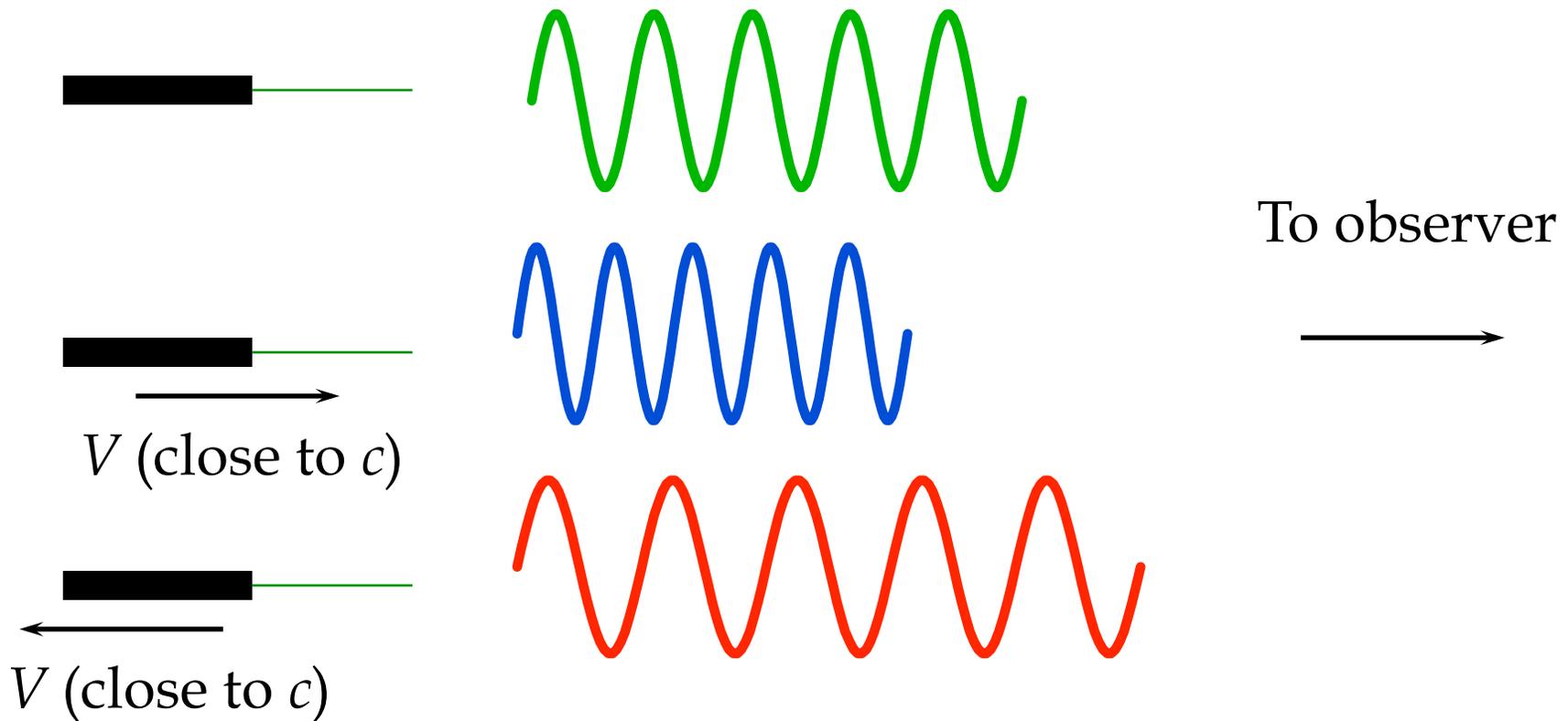
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# Light can be either a particle or a wave (continued)

Wave example: the **Doppler effect**.

Lasers

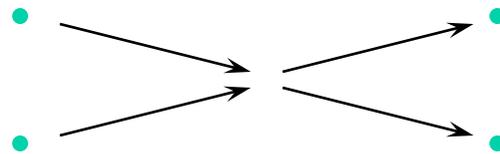
Observer sees:



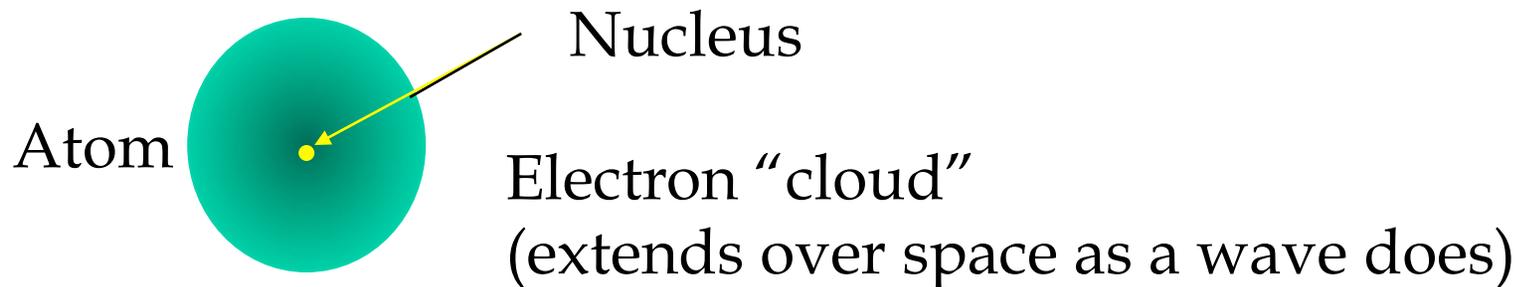
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## Electrons can be particles or waves

Particle example: collisions between free electrons are “elastic” (they behave like billiard balls).



Wave example: electrons confined to atoms behave like waves.



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## How to evoke the wave properties of matter

**All the elementary constituents of matter have both wave and particle properties.**

If a subatomic particle (like an electron, proton or neutron) is **confined to a very small space**, it acts like a **wave** rather than a particle.

How small a space?

- The size of an atom, in the case of electrons (about  $10^{-8}$  cm in diameter).
- A much smaller space for protons and neutrons (about  $10^{-11}$  cm diameter).
- Generally, the more massive a particle is, the smaller the confinement space required to make it exhibit wave properties.

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## Elementary particle masses

In a reference frame in which the particle is at rest,

$$m = 9.1094 \times 10^{-28} \text{ gm (electron)}$$

$$m = 1.6726 \times 10^{-24} \text{ gm (proton)}$$

$$m = 1.6750 \times 10^{-24} \text{ gm (neutron)}$$

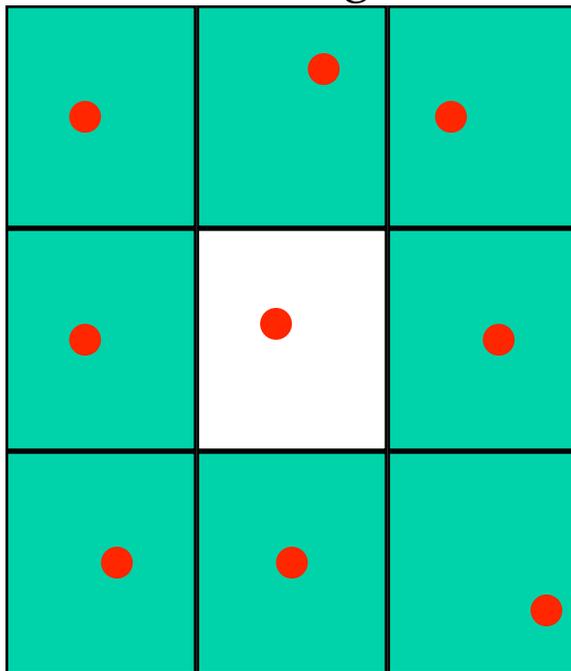
- To reveal their wave properties, electrons need to be confined to atomic dimensions (about  $10^{-8}$  cm); thus neutrons and protons to a space a factor of about 1836 smaller (in round numbers, about  $10^{-11}$  cm), that number being the ratio of these particles' masses to that of the electron.
- Photons -- particles of light -- have rest mass 0. (This goes with them having no rest frame; they always appear to travel at the speed of light.)

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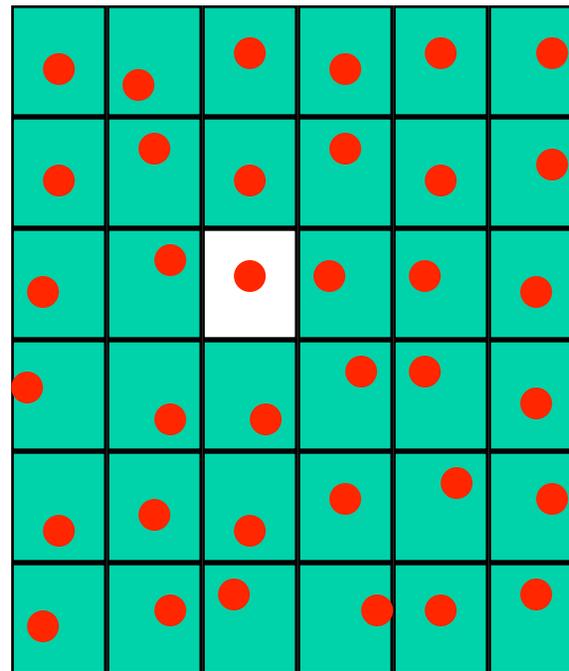
## Confinement of elementary particles

Particles like electrons, protons and neutrons can be confined to a small space by being surrounded by other particles of the same type, very nearby.

9 electrons sharing a two-dimensional region.



36 electrons sharing the same area.  
Each is confined to a smaller space.



(They don't even wander into each other's cell! Why not?)

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## Confinement of elementary particles (continued)

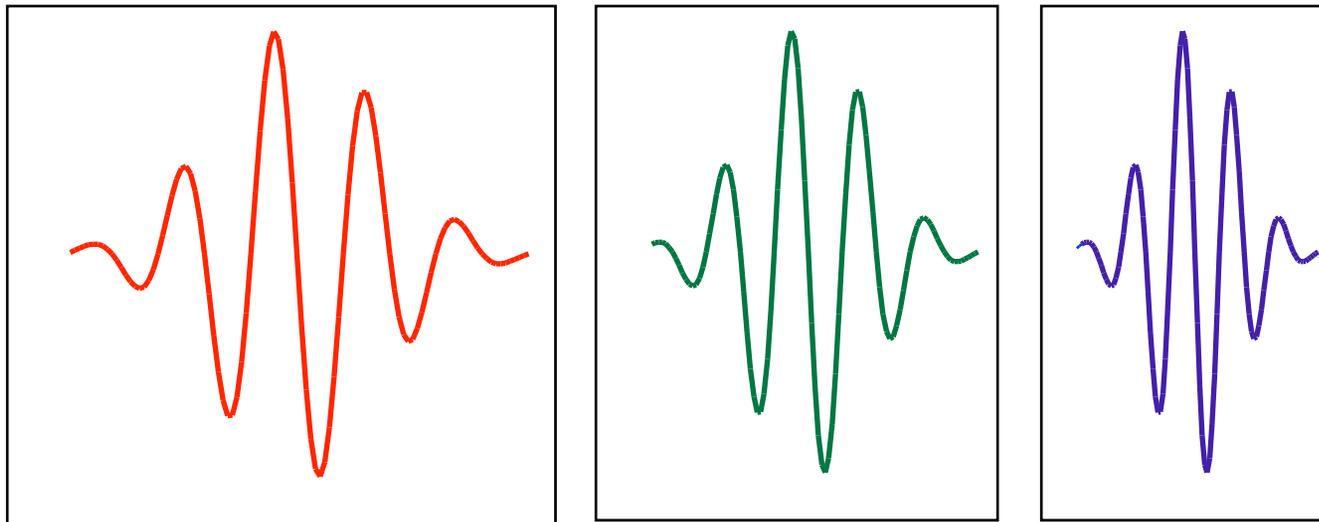
This confinement has to do not only with the electric repulsion they may experience; there is an additional **quantum-mechanical repulsion** of electrons by each other, which sets in at very small distances, such that wave properties are displayed.

- ❑ If the separation is small enough that this quantum repulsion is bigger than the electric repulsion, the electrons are said to be **degenerate**.
- ❑ Note for those who have taken physics or chemistry before: you may know this quantum repulsion as the **Pauli exclusion principle**.
- ❑ Protons can confine each other in a similar fashion; so can neutrons. Because electrons are less massive, though, they become degenerate with less confinement (a space roughly 1800 times larger, as we have seen).
- ❑ Photons do not do this; the Pauli principle does not apply to light.

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# Implications of confinement arising from the wave properties of elementary particles

If one confines an electron wave to a smaller space, its wavelength is made shorter.



Just as is the case for light, a shorter wavelength means a larger energy for each confined electron.

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## Implications of confinement arising from the wave properties of elementary particles (cont'd)

With this increase in energy, each electron exerts itself harder on the walls of its “cell;” this is the same as an increase in pressure. So:

- ❑ squeeze a lot of matter from a very small space into an even smaller space...
- ❑ electrons are more tightly confined...
- ❑ thus the electrons have more energy and exert more pressure against their confinement.

This extra pressure from the increase in wave energy under very tight confinement is **degeneracy pressure**, first described by British physicist [Ralph Fowler](#) in 1926.



Sir Ralph Fowler  
([AIP](#)).

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## Implications of confinement arising from the wave properties of elementary particles (cont'd)

- Another, equivalent, way to view the wave-particle duality-induced extra resistance to compression is to invoke the **Heisenberg uncertainty principle**:

**The more precisely the position of an elementary particle is determined along some dimension, the less precisely its momentum (mass times velocity) along that same direction is determined.**

- In other words: confining a bunch of elementary particles each to a very small distance (thus determining each position precisely) leads to a very large variation in their momenta and speeds.
- Confine to smaller space => increase speed of particles on average => increase the force they exert on their “cell walls” (degeneracy pressure).



## Let's check quickly...

Deduce, from what you've just heard, which of these statements is **false**:

- A. Degeneracy pressure can hold ordinary objects together.
- B. A degenerate object made entirely of neutrons would be smaller than an object of the same mass made entirely of electrons.
- C. The more tightly confined electrons are, the larger is their degeneracy pressure.
- D. If I add mass to a degenerate object, it should get smaller in diameter, not bigger.
- E. The more tightly confined electrons are, the larger is their momentum likely to be.

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# Electron degeneracy pressure and the prevention of black holes

Questions:

- Most stable stars are stable because their weight is held up by gas pressure. Do stars exist that are held up by electron degeneracy pressure, rather than gas pressure?
  - Yes: **white dwarfs**.
- How are such stars made?
  - From normal stars at the end of life, when they have run out of fuel, can't generate pressure, and collapse under their own weight.
- Can electron degeneracy pressure balance gravity for all compact stars, preventing them from collapsing so far that they acquire horizons and become black holes?
  - **Not entirely**, as we'll see next time.

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# Done!

